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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|--|-------------|----------------------|----------------------|------------------|
| 09/940,280 | 08/27/2001 | Frederick H. Carter | 15437-0546 | 4555 |
| 29989 | 7590 | 11/12/2004 | EXAMINER | |
| HICKMAN PALERMO TRUONG & BECKER, LLP 1600 WILLOW STREET SAN JOSE, CA 95125 | | | BRUCKART, BENJAMIN R | |
| | | | ART UNIT | PAPER NUMBER |
| | | | 2155 | |

DATE MAILED: 11/12/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

| | | | |
|------------------------------|------------------------|----------------------|--|
| Office Action Summary | Application No. | Applicant(s) | |
| | 09/940,280 | CARTER, FREDERICK H. | |
| | Examiner | Art Unit | |
| | Benjamin R Bruckart | 2155 | |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) Responsive to communication(s) filed on 27 August 2001.
- 2a) This action is FINAL. 2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) Claim(s) 1-26 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) Claim(s) _____ is/are allowed.
- 6) Claim(s) 1-26 is/are rejected.
- 7) Claim(s) _____ is/are objected to.
- 8) Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on _____ is/are: a) accepted or b) objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 - a) All
 - b) Some *
 - c) None of:
 1. Certified copies of the priority documents have been received.
 2. Certified copies of the priority documents have been received in Application No. _____.
 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

| | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date _____ | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| | 6) <input type="checkbox"/> Other: _____ |

Detailed Action

Claims 1-26 are pending in this Office Action.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1-26 are rejected under 35 U.S.C. 102(e) as being anticipated by U.S. Publication No. 2002/0178254 by Brittenham et al.

Regarding claim 1, in a process comprising at least one activity, a computer implemented method for performing an activity (Brittenham: page 2, para 17; page 4, para 41; activity = web service), comprising:

receiving a message to perform an activity which calls for invocation of a service provided by a service application (Brittenham: page 2, para 17; web service), said service being invocable using a protocol (Brittenham: page 4, para 41);

obtaining a service definition for said service (Brittenham: page 4-5, para 47-48);

executing a set of logic which implements said protocol to generate a service invocation (Brittenham: page 2, para 17), wherein said service invocation is generated based upon at least a portion of said service definition, and is in compliance with said protocol (Brittenham: page 4-5, para 41, 47-48, 53); and

sending said service invocation to said service application to invoke said service (Brittenham: page 5, para 50).

Regarding claim 2, the method of claim 1, wherein said protocol is an industry standard protocol (Brittenham: page 4, para 41).

Regarding claim 3, the method of claim 2, wherein said protocol is SOAP (simple object access protocol) (Brittenham: page 4, para 41).

Regarding claim 4, the method of claim 2, wherein said protocol is ebXML (Brittenham: page 4, para 41; col. 2).

Regarding claim 5, the method of claim 1, wherein said activity has an activity definition associated therewith, and wherein said activity definition comprises said service definition (page 5, para 53; definition for deployed service).

Regarding claim 6, the method of claim 1, wherein said service definition comprises an indication that said protocol is to be used to invoke said service (Brittenham: pages 5-6, para 55, 58; name of the soap server, run-time environment; different services leverage web services stack of different protocols; Figure 6).

Regarding claim 7, the method of claim 1, wherein said service definition comprises access information for accessing said service (Brittenham: page 5, para 48; end point information).

Regarding claim 8, the method of claim 7, wherein said access information comprises a URI (universal resource identifier) (Brittenham: page 5, para 48; URL is a component of a URI. URI is made up of URNs and URLs).

Regarding claim 9, the method of claim 7, wherein said access information comprises a service name (Brittenham: page 4, para 40).

Regarding claim 10, the method of claim 1, wherein said service definition comprises mapping information that maps one or more attributes associated with said activity to one or more parameters used by said service (Brittenham: pages 4-5, para 47-48; Figures 5a-5c; binds service with node).

Regarding claim 11, the method of claim 1, wherein said message to perform said activity is received from a process management engine, and wherein said method further comprises:

receiving a reply from said service application which comprises one or more results of said service invocation (Brittenham: page 6, para 57); and

providing at least a portion of said one or more results to said process management engine to complete performance of said activity (Brittenham: page 6, para 56-57; process management engine = DN).

Regarding claim 12, a computer implemented method for performing one or more activities (Brittenham: page 2, para 17; page 4, para 41; activity = web service), comprising:

receiving a first message to perform a first activity which calls for invocation of a first service provided by a first service application (Brittenham: page 2, para 17; web services; *The Brittenham reference teaches the deployment node for a plurality of web services. The deployment node receives a plurality of messages for different services as shown in Figure 3 with the counter and POP and in Figure 1 with the different origin server and POP servers*);

obtaining a service definition for said first service (Brittenham: pages 4-5, para 47-48), said service definition for said first service comprising an indication that a first protocol is to be used to invoke said first service (Brittenham: page 4, para 41; pages 5-6, para 55, 58; name of the soap server, run-time environment; different services leverage web services stack of different protocols; Figure 6);

selecting a first set of logic based upon said indication in said service definition for said first service, said first set of logic implementing said first protocol (Brittenham: page 5, para 41);

executing said first set of logic to generate a first service invocation (Brittenham: page 5, para 50), wherein said first service invocation is generated based upon at least a portion of said service definition for said first service, and is in compliance with said first protocol (Brittenham: page 4-5, para 41, 47-48, 53); and

sending said first service invocation to said first service application to invoke said first service (Brittenham: page 5, para 50).

Regarding claim 13, the method of claim 12, further comprising:

receiving a second message to perform a second activity which calls for invocation of a second service provided by a second service application (Brittenham: page 2, para 17; web service);

obtaining a service definition for said second service (Brittenham: pages 4-5, para 47-48), said service definition for said second service comprising an indication that a second protocol is to be used to invoke said second service (Brittenham: page 4, para 41; pages 5-6, para 55, 58; name of the soap server, run-time environment; different services leverage web services stack of different protocols; Figure 6),

selecting a second set of logic based upon said indication in said service definition for said second service, said second set of logic implementing said second protocol (Brittenham: page 5, para 41);

executing said second set of logic to generate a second service invocation (Brittenham: page 5, para 50), wherein said second service invocation is generated based upon at least a portion of said service definition for said second service, and is in compliance with said second protocol (Brittenham: page 4-5, para 41, 47-48, 53); and

sending said second service invocation to said second service application to invoke said second service (Brittenham: page 5, para 50).

While the examiner understands the difference between a computer implemented method for performing activities and a computer readable medium comprising instructions, which perform activities, the examiner relates these to the code and features of code running on a computer system. Therefore the claims below are equated to each other in nature and are therefore rejected accordingly.

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Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Benjamin R Bruckart whose telephone number 571-272-3982. The examiner can normally be reached on 8:00-5:30 PM with every other Friday off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Hosain Alam can be reached on 571-272-3978. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 872-9306 for regular communications and After Final communications.

Art Unit: 2155

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 571-272-3982.

Benjamin R Bruckart
Examiner
Art Unit 2155

BRB

brb

November 5, 2004

Hosain Alam
HOSAIN ALAM
SUPERVISORY PATENT EXAMINER